



Community of Federal Regulators  
Federal Regulatory Law  
Enforcement Symposium

# 2018 Federal Regulatory Law Enforcement Symposium

## *The Regulatory Compliance & Enforcement Continuum*

Wednesday, February 7, 2018  
9:00 AM – 4:00 PM

111 Sussex Drive, Victoria Hall (2<sup>nd</sup> Floor)

### *Agenda*

**Vision/Objective:** To the C&E community, compliance and enforcement activities are generally viewed as the “continuum”. Although some agencies may opt to clearly divide the different functions within their ranks, the decision making and outcomes can only be achieved successfully with a sound understanding of the relevant steps that make the continuum. Hear what leading enforcement organizations have to say about the key features of this continuum such as inspection-related activities, best practices for investigating wrong doing and the taking of appropriate enforcement actions.

Time	Subject	Speakers/Discussant
8:30 am – 9:00 am	<b>Registration and Networking</b> (coffee/tea provided)	
9:00 am – 9:15 am	<b>Call to Order and Official Welcome (housekeeping)</b>	<b>Philippe Madgin</b> , Executive Director, Transport Canada
9:15 am – 9:30 am	<b>Opening Remarks</b>	<b>Dr. Siddika Mithani</b> , President, Public Health Agency of Canada and CFR DM Champion
9:30 am – 9:40 am	<b>Agenda explained –Slido and Webcast</b>	<b>Philippe Madgin</b>



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Time	Subject	Speakers/Discussant
9:40 am – 10:55 am	<p><b>Panel 1 – Risk-based Inspection Planning and Execution</b></p> <p><i>This panel will explore some of the standards and best practices for conducting risk-based regulatory inspections: Common triggers, understanding the regulatory framework, the importance of leveraging data, risk mitigation tools etc.</i></p>	<p><b>Discussant:</b></p> <p><b>Luc Chamberland</b>, Director, Management Systems Integration, Transport Canada</p> <p><b>Speakers:</b></p> <p><b>Jason McLean</b>, Director, Ontario Ministry of Agriculture, Food, and Rural Affairs</p> <p><b>Nicholas Shipley</b>, Manager, Compliance and Enforcement Division, Health Canada</p> <p><b>Leslie Siegman</b>, Director, Monitoring and Compliance, Canadian Transportation Agency</p>
10:55 am – 11:25 am	<b>Networking Break</b> (coffee/tea provided)	
11:25 am – 12:40 pm	<p><b>Panel 2 – Building the Investigation</b></p> <p><i>This panel of seasoned C&amp;E practitioners will address some of the most common issues for achieving investigation results: Recognizing the difference between inspection, administrative and penal investigation, preparing the investigation plan, the role of management, common tools for evidence collection, upcoming investigative techniques and investigating potential defenses.</i></p>	<p><b>Discussant:</b></p> <p><b>Philippe Madgin</b></p> <p><b>Speakers:</b></p> <p><b>Marc Grignon</b>, Regional Director, Transport Canada</p> <p><b>Jean-François Sylvestre</b>, Conservation and Protection Area Chief, Fisheries and Oceans Canada</p> <p><b>Darin Conroy</b>, Senior Enforcement Officer, Environment and Climate Change Canada</p> <p><b>Ian McKinley</b>, Deputy Registrar, Community Safety and Correctional Services</p>
12:40 pm – 1:40 pm	<b>Lunch</b> (not provided)	

Time	Subject	Speakers/Discussant
1:40 pm – 2:10 pm	<b>Keynote Speaker</b>	<b>Manon Bombardier</b> , Director General, Natural and Non-Prescription Health Products Directorate, Health Canada
2:10 pm – 2:30 pm	<b>Networking Break</b> (coffee/tea provided)	
2:30 pm – 3:45 pm	<p><b>Panel 3 – The Enforcement Response</b></p> <p><i>The enforcement response toolkit is growing. This panel will address specific considerations in making the right choice. The discussion will include: Standards on instrument selection, principles of graduated approach, AMP v. Prosecution, other powerful administrative remedies.</i></p>	<p><b>Discussant:</b></p> <p><b>Jeremy Hill</b>, Senior Advisor, Transport Canada</p> <p><b>Speakers:</b></p> <p><b>Heather McCreedy</b>, Director General, Environmental Enforcement, Environment and Climate Change Canada</p> <p><b>Marie-Claude Gervais</b>, Counsel, Justice Canada</p> <p><b>Kenneth Jull</b>, General Counsel, Competition Bureau</p>
3:45 pm – 4:00 pm	<b>Next Steps and Closing Remarks, Evaluation</b>	<b>Philippe Madgin</b>